

# Wealth Namibia

## Change of financial adviser information

Investment number	P	P																		
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**Important information:**

1. This request forms part of your investment agreement with us. Refer to the terms of your investment, or ask your financial adviser for more information.
2. According to the Financial Intelligence Act (FIA), 13 of 2012, we must identify you, and verify information if it is new or different from what we have on record. This means we can ask for additional information and documents.
3. If you have signed an investment mandate with your previous financial adviser, we must adhere to the notice term given on that investment mandate. This change cannot be processed before the end of that agreed notice term. If you received written confirmation that the agreement has been cancelled, send us this proof.
4. We will only apply and pay the fee to the new financial adviser once we have updated this change on our records. If we can only finalise this change after that month's fee run, it will only be paid from the next month. We will not make any back-dated payments.
5. Only the investor can sign this request.
6. We populated certain fields on this form to guide you. You can change these fields according to your requirements.
7. You may not change any other part of this form. When you correct any information you have completed, sign next to it.
8. If we cannot process any part of this request, we will inform you or your financial adviser.

### 1: Investor details

Title	<input type="text"/>	Initials	<input type="text"/>
Surname/Name of entity	<input type="text"/>		
Full name	<input type="text"/>		
Identity/Passport/Registration number	<input type="text"/>		

### 2: Financial adviser details

Are you changing your appointed financial adviser? Yes  No

If "yes", we need the following information.

**We will split the adviser fee for the investment as follows:**

\* If there is more than one financial adviser, give the preferred adviser's details first.

Name and surname	Financial adviser code	Financial services provider (FSP)	FSP house code	Adviser fee reference number	Adviser fee split %
*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/> %
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/> %
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/> %

%

Cell phone number  Other

Email address

### 3: Financial adviser ongoing fee

Are you changing your agreed financial adviser fees?

Yes  No

If "yes", we need the agreed fees below.

If "no", we will not make any changes to the fees for this investment.

This request replaces any previous financial adviser fee request.

#### Important:

- We use your investment's ongoing adviser fee percentage to calculate the adviser's ongoing fee for the whole investment.
- You may pay lower fees because of your appointed adviser's administration fee structure. This fee structure determines the Momentum ongoing administration fee for your investment. If your adviser's administration fee structure changes, the Momentum ongoing fee on your investment may change.
- All fees exclude VAT unless specified otherwise. We will add VAT to the following fee percentages if the financial adviser fee is subject to VAT.
- Our fee and benefit proposal or fee schedule has details of our ongoing fee.

Pay my financial adviser the following ongoing adviser fee:

Adviser's ongoing fee (excl VAT)  ,  % a year

Pay my financial adviser the following adviser fee on regular investments:

Adviser's ongoing fee (excl VAT)  ,  %

### 4: Financial adviser declaration

1. I confirm that I have identified the investor, any guardian and the investment payer. I obtained and stored the verification documents and have verified these details as required by the FIA Act. Yes  No
2. I am a licensed financial service provider, registered under the Long Term Insurance Act whereas Investment Manager is registered under Determination 4(1)(f) of the Stock Exchange Control Act.
3. I gave and explained all documents that apply to this investment to the investor before they signed this request.
4. I acknowledge that I have personally explained all the fees and charges that apply to this investment and request to the investor.
5. My fees, agreed with the investor, are reasonable, considering the services the investor needs and I will provide it on an ongoing basis.
6. You can pay my fees monthly in arrears. You may immediately stop paying my fees if the investor cancels my mandate.
7. I confirm that I have explained to the investor that Momentum Wealth Namibia uses the Momentum Namibia Money Market Fund as a default investment component for certain transactions and events, as explained in your product terms. I confirm that I have explained that the Momentum Namibia Money Market Fund is a collective investment scheme (unit trust), and that it carries a risk that, although unlikely, if any capital losses occur, it will also be a loss for the investor.
8. I confirm that any request I give you will be within the limits of the mandate granted to me by the investor.

**I have read this request and the terms and guides that apply to this investment. The information on this form is, to my knowledge, true and correct. I will not hold you liable for any loss or damage if this application is altered after I sign it.**

<p><b>Signature of financial adviser</b> <input style="width: 100%; height: 40px;" type="text"/></p>	<p>Date <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/></p>
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### 5: Investor's declaration

1. I am the owner of this investment.
2. I know that my financial adviser must be appropriately registered with Namfisa.
3. I appoint this financial adviser and agree to the above fee for this investment.
4. Any future request for this investment:
 

<input type="text" value="Must be signed by me or the authorised signatory"/>	or	<input type="text" value="Can be signed by my appointed financial adviser as per the mandate for this investment"/>
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5. I understand that you can only change my financial adviser for this investment after any notice term that I have agreed to before (with my previous adviser), has ended, or if I give you written proof that the agreement was cancelled.
6. I understand and accept:
  - that you are not liable for any claim that may arise as a result of this request;
  - your timelines, business practices and administrative processes;
  - that you can share my personal information within Momentum Metropolitan Namibia Limited, its subsidiaries and contracted service providers to administer my investment and give me financial wellness information.
7. I acknowledge that you may be required to send the information provided to the Namibia Revenue Agency, who may share it with the local tax authority according to the Organisation for Economic Co-operation and Developments (OECDs) Common Reporting Standard (CRS).
8. I am not, nor am I acting on behalf of a person who is either a resident, national or citizen of the United States of America or a US person within the meaning of the definition of that term contained in Regulation S of the US Securities Act 1933 (as amended)
9. I undertake to inform you in writing of any changes in my circumstances or details that I provided within 30 days of any change.

I have carefully read, and I understand and accept this application form, the terms, proposals, fee schedules, minimum disclosure documents or fund fact sheets and guides that apply to this investment. The information I completed on this form is true and correct. I will not hold you liable for any loss or damage if this application is altered after I sign it.

Name and surname of signatory

Capacity of signatory (if not investor)

Signed at  Date 

D	D	M	M	Y	Y	Y	Y
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<b>Authorised signatory</b>	<input type="text"/>	<b>Second signature (if required)</b>	<input type="text"/>
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**Contact details**

**Client contact centre**

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