

Wealth Namibia

Change of investor details

Investment number	P	P																		
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Important information:

1. This request forms part of your investment agreement with us. Refer to the terms of your investment or ask your financial adviser for more information.
2. According to the Financial Intelligence Act (FIA), 13 of 2012, we must identify you, and verify information if it is new or different from what we have on record. Based on the information you provide, we may ask for additional information and documents.
3. We populated certain fields on this form to guide you. You can change these fields according to your requirements.
4. You may not change any other part of this form. When you correct any information you have completed, sign next to it.
5. If we cannot process any part of this request, we will inform you or your financial adviser.

1: Investor details

If any of the details below is different to the details we have for you, we will update our records to show the information below.

Note:

If you ask us to change any of the following information, make sure you also give us the required supporting documents:

- Change of your name, surname, identity number:
 - A copy of either your identity document or marriage certificate, with three sample signatures on it
- Change of your residential address:
 - Proof of your residential address, not older than three months

We will update the personal details on all your Momentum Wealth Namibia investments.

1.1 Investor details

Surname/Name of legal entity	<input type="text"/>										
Previous surnames/entity/trading names	<input type="text"/>										
Title	<input type="text"/>										
Full name	<input type="text"/>										
Identification/Registration number	<input type="text"/>										
Nationality	<input type="text"/> N <input type="text"/> A <input type="text"/> M (NAM if Namibia)	Country of birth	<input type="text"/> N <input type="text"/> A <input type="text"/> M (NAM if Namibia)								
Citizenship	<input type="text"/> N <input type="text"/> A <input type="text"/> M (NAM if Namibia)	If you hold dual citizenship, give the other country code	<input type="text"/>	<input type="text"/>	<input type="text"/>						
Date of birth	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	Gender	<input type="text"/> Male <input type="text"/>	<input type="text"/> Female <input type="text"/>							
Physical address	Unit number	<input type="text"/>	Complex	<input type="text"/>							
	Street number	<input type="text"/>	Street name/Farm	<input type="text"/>							
	Suburb/District	<input type="text"/>									
	City/Town	<input type="text"/>									
	Postal code	<input type="text"/>	Country code	<input type="text"/> N <input type="text"/> A <input type="text"/> M (NAM if Namibia)							

1.2 Communication details

Cell phone number	<input type="text"/> + <input type="text"/> 2 <input type="text"/> 6 <input type="text"/> 4 <input type="text"/> (8) <input type="text"/>	Other	<input type="text"/> + <input type="text"/> 2 <input type="text"/> 6 <input type="text"/> 4 <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
Email address (primary)	<input type="text"/>																				
Email address (secondary)	<input type="text"/>																				

If an email indemnity is signed in the investor declaration, the above email address will apply for the receipt of emailed transactions/instructions.

We will communicate by email. Do you prefer to receive communication by post instead? Yes No

If "yes" and the postal address is different to the physical address above, we need the postal address.

Postal address

 City/Town
 Postal code Country code (NAM if Namibia)
 If the investor is a minor, name of the contact person
 Identification number of the contact person

1.3 Income tax details

If you are a trust or entity, you have to complete, sign and send the additional addendum, Wealth Namibia Tax addendum for entities (WN241), with this request. You do not have to complete section 2.2.1 as it forms part of the addendum.

If you are an individual natural person, in how many countries are you a tax resident (including Namibia)?

If this investment is for a minor who is not registered for tax, provide the guardian's tax information.

Are you a registered tax payer with the Namibia Revenue Agency (NRA)? Yes No

If "yes", give your tax reference number

If you are currently, or have been previously, registered for tax in other countries, complete those details below.

Tax residence (country code)	Tax identification number (TIN)
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Use the list of country codes for the correct country code.

1.4 Bank account details

We can only pay to your Namibian bank account. We need proof of this bank account not older than three months.

Name of bank
 Branch name
 Account number Branch code
 Type of account Current/Cheque Savings Transmission

If you are the investor, indicate for which transactions we must use the bank account details that you have given:

Collection of regular investment amounts Payment of regular withdrawals/income Payment of investment benefits

2: Anti-money laundering provisions

What is your source of **income or wealth**?

Business profit Interest and dividends Savings Salary Inheritance Other

Give more details like name and nature of the business, name of the financial institution and the account reference, name of the employer, the estate that paid the inheritance, or describe "other":

A **politically exposed person** (PEP) is someone who is involved, or has been involved, in a prominent public function. This includes local functions or functions in foreign countries. You are also considered a politically exposed person if any of your family members, nominated beneficiaries or close associates are politically exposed. A politically exposed person status is not only relevant to government employees or a person involved in politics. It includes for example, heads of state, cabinet ministers, members of parliament, members of local and provincial government, senior administrators in government departments (financial departments/tender processes), senior judges, managers of local municipalities who award tenders, senior and influential officials, ambassadors, commissioners, consulate employees and senior representatives of religious organisations.

Are you a politically exposed person? Yes No

If "yes", give details and complete the PEP questionnaire

3: Financial adviser details and declaration

The servicing financial adviser must complete and sign this section.

3.1 Financial adviser details

Name and surname	Financial adviser code	FSP name	FSP code	Fee reference	Fee split %	Marketing adviser code
Servicing financial adviser (preferred adviser)					%	
					%	
					%	

100 %

Servicing financial adviser details

Cell phone number Other

Email address

3.2 Servicing financial adviser declaration

- I confirm that I have identified the investor, any guardian and the investment payer. I obtained and stored the verification documents and have verified these details as required by the FIA Act. Yes No
- I explained and provided all documents that apply to this investment to the investor before this form was signed.
- I acknowledge that the client(s) is/are not a resident, national or citizen of the United States of America and is/are not a US person within the meaning of the definition of that term contained in Regulation S of the US Securities Act 1933 (as amended).
- Any request I give you will be within the limits of the mandate I have from the investor.

I have read this request. The information on this form is, to my knowledge, true and correct. I will not hold you liable for any loss or damage if this instruction is altered after I sign it.

Signature of financial adviser

Date

4: Investor declaration

- I am the owner of this investment.
- I confirm that I can sign for this bank account.
- I declare that you may check my details with my bank.
- You can do the following:
 - Debit my account on my chosen date or on the next possible date, if you could not collect on my chosen date. Once you have asked for the collection from my account, you can carry out this investment request; or
 - Credit my account with any amounts due to me as per the investment agreement.
- I understand and accept:
 - that you are not liable for any claim that may result from this request;
 - your timelines, business practices and administrative processes; and
 - that you can share my personal information within Momentum Metropolitan Namibia Limited, its subsidiaries and contracted service providers to administer my investment and give me financial wellness information.
- I, the undersigned, herewith consent that Momentum Wealth Namibia (Pty) Ltd:
 - may communicate with me via email and may send all relevant contracts, statements and other information pertaining to my investment to me to the email address provided in this application;
 - I further confirm that the email address provided herein is complete and correct in all respects and undertake that I will inform Momentum Wealth Namibia (Pty) Ltd immediately of any changes to the details herein contained and specifically of any changes to my email address;
 - I acknowledge that I am aware that communications sent by email:
 - can easily be tampered with;
 - intercepted or fraudulently abused by outsiders; and
 - I herewith expressly and fully indemnify Momentum Wealth Namibia (Pty) Ltd, its representatives and employees against and waive any rights in connection with any demand, claim or action, whether directly or indirectly relating to or in connection with any email communication, unless the matter is as a result of gross negligence or willful misconduct of Momentum Wealth Namibia (Pty) Ltd or any of its employees, in which case the matter shall be dealt with on its legal merits.
- I am aware that FIA applies and I will follow its requirements.

8. I am not, nor am I acting on behalf of a person who is either a resident, national or citizen of the United States of America or a US person within the meaning of the definition of that term contained in Regulation S of the US Securities Act 1933 (as amended).
9. I acknowledge that you may be required to send the information provided to the Namibia Revenue Agency, who may share it with the local tax authority according to the Organisation for Economic Co-operation and Developments (OECDs) Common Reporting Standard (CRS).
10. I undertake to inform you in writing of any changes in my circumstances or details that I gave within 30 days of any change.

I have carefully read and I understand and accept this request. The information I completed on this form is true and correct. I will not hold you liable for any loss or damage if this request is altered after I sign it.

Name and surname	<input type="text"/>																			
If not the investor, capacity of signatory	<input type="text"/>																			
Signed at	<input type="text"/>							Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Authorised signatory	<input type="text"/>	Other signature (if required)	<input type="text"/>
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Contact details

Client contact centre

Telephone: (+264) 61 297 3737, Fax: (+264) 61 297 3679, Email: wealthservice@momentum.com.na

Address: Cnr Dr Frans Indongo & Werner List Streets, Postal: PO Box 3785 Windhoek Namibia, Website: momentum.com.na

Momentum Wealth Namibia (Pty) Ltd, an authorised financial services provider Reg no 2016/0297
Momentum Metropolitan Namibia Limited, an authorised financial services provider Reg no 89/327
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