

Wealth Namibia

Direct Investment for Individual investors

Investment number	P	P																		
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Your investment application

1. This form, the investment terms, the guides and the fund fact sheets, are the basis of our investment agreement. If you want copies of any of these documents, you can ask your financial adviser or contact us.
2. We populated certain fields on this form to guide you. You can change these fields according to your requirements.
3. You may not change any part of this form and the terms of this investment. When you correct any information you have completed, sign next to it.
4. According to the Financial Intelligence Act, Act 13 of 2012 ("FIA"), we must identify you, and verify information if it is new or different to what we have on our records. Based on the information you provide, we may ask for additional information and documents. Refer to the FIA checklist for the required supporting documents.
5. If we cannot process any part of this request, we will inform you or your financial adviser.

1: Investor details

Only natural persons who are ordinary tax residents in Namibia can invest in this investment.

1.1 Personal details

We need proof of your identity

Surname	<input type="text"/>																		
Previous surname	<input type="text"/>																		
Title	<input type="text"/>																		
Full name	<input type="text"/>																		
Identification number	<input type="text"/>																		
Nationality	<input type="text"/> N <input type="text"/> A <input type="text"/> M (NAM if Namibia)	Country of birth	<input type="text"/> N <input type="text"/> A <input type="text"/> M (NAM if Namibia)																
Citizenship	<input type="text"/> N <input type="text"/> A <input type="text"/> M (NAM if Namibia)	If you hold dual citizenship, give the other country code	<input type="text"/> <input type="text"/> <input type="text"/>																
Date of birth	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	Gender	Male <input type="text"/> <input type="text"/> Female <input type="text"/> <input type="text"/>																
Physical address	Unit number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Complex	<input type="text"/>															
	Street number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Street name/Farm	<input type="text"/>															
	Suburb/District	<input type="text"/>																	
	City/Town	<input type="text"/>																	
	Postal code	<input type="text"/>							Country code	<input type="text"/> N <input type="text"/> A <input type="text"/> M (NAM if Namibia)									

What is your source of **income or wealth**?

Business profit	<input type="text"/>	Interest and dividends	<input type="text"/>	Savings	<input type="text"/>	Salary	<input type="text"/>	Inheritance	<input type="text"/>	Other	<input type="text"/>
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Give more details like name and nature of the business, name of the financial institution and the account reference, name of the employer, the estate that paid the inheritance, or describe "other":

A **politically exposed person** (PEP) is someone who is involved, or has been involved, in a prominent public function. This includes local functions or functions in foreign countries. You are also considered a politically exposed person if any of your family members, nominated beneficiaries or close associates are politically exposed. A politically exposed person status is not only relevant to government employees or a person involved in politics. It includes for example, heads of state, cabinet ministers, members of parliament, members of local and provincial government, senior administrators in government departments (financial departments/tender processes), senior judges, managers of local municipalities who award tenders, senior and influential officials, ambassadors, commissioners, consulate employees and senior representatives of religious organisations.

Are you a politically exposed person? Yes No

If "yes", give details and complete the PEP questionnaire

3: Beneficiary details

You cannot nominate a beneficiary for this investment. It will form part of your estate when you die.

4: Financial adviser details and declaration

The servicing financial adviser must complete and sign this section.

4.1 Financial adviser details

Name and surname	Financial adviser code	FSP name	FSP code	Fee reference	Fee split %	Marketing adviser code
<input type="text" value="Servicing financial adviser (preferred adviser)"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/> %	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/> %	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/> %	<input type="text"/>

%

Servicing financial adviser details

Cell phone number Other

Email address

4.2 Servicing financial adviser declaration

- I confirm that I have identified the investor, any guardian and the investment payer. I obtained and stored the verification documents and have verified these details as required by the Financial Intelligence Act (FIA). Yes No
- I explained and provided all documents that apply to this investment to the investor before this form was signed.
- I explained to the investor all the fees and charges that apply to this investment.
- I acknowledge that the investor is not a resident, national, or citizen of the United States of America and is not a US person within the meaning of the definition of that term contained in Regulation S of the US Securities Act 1933 (as amended).
- Any request to you will be within the limits of the mandate I have from the investor.

I have read this application form, the terms and the minimum disclosure documents or fund fact sheets that apply to this investment. The information on this form is, to my knowledge, true and correct. I will not hold you liable for any loss or damage if this application is altered after I sign it.

Signature	<input type="text"/>	Date <input type="text" value="D D M M Y Y Y Y"/>
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5: Investor declaration

- This investment amount is not the proceeds of any crime.
- I acknowledge that investment performance is not guaranteed and, if my investment is exposed to market risk, its value may fluctuate.
- It is my responsibility to get advice before making any investment decisions. I accept the risks related to this investment if I choose not to use the services of a financial adviser or do not follow my financial adviser's advice.
- I know that my financial adviser must be appropriately registered with the Namibia Financial Institutions Supervisory Authority (Namfisa).
- Any future request for this investment:

<input type="text" value="Must be signed by me or the authorised signatory"/>	or	<input type="text" value="Can be signed by my appointed financial adviser as per the mandate for this investment"/>
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- If I am also an investment payer, I agree that for this investment:
 - you can check my details with my bank and collect money from my bank account; and
 - I can sign for this bank account.
- I understand and accept:
 - your timelines, business practices and administrative processes;
 - all fees related to this application and that you will pay it from the investment components when it is due;
 - that you can invest an amount when you have collected it or once a deposit reflects in your bank account;
 - the use of a default investment component for certain transactions and investment events; and
 - that you can share my personal information within Momentum Metropolitan Namibia Limited, its subsidiaries and contracted service providers in order to administer my investment and provide me with financial wellness information.
- I am aware that the Financial Intelligence Act (FIA) applies and I will follow its requirements.

9. I am not, nor am I acting on behalf of a person who is either a resident, national or citizen of the United States of America or a US person within the meaning of the definition of that term contained in Regulation S of the US Securities Act 1933 (as amended).
10. Future instructions for this investment can be emailed to the appointed financial adviser or the Momentum office.
11. I, the undersigned, herewith consent that Momentum Wealth Namibia (Pty) Ltd:
- may communicate with me via email and may send all relevant contracts, statements and other information pertaining to my investment to me to the email address provided in this application;
 - I further confirm that the email address provided herein is complete and correct in all respects and undertake that I will inform Momentum Wealth Namibia (Pty) Ltd immediately of any changes to the details herein contained and specifically of any changes to my email address;
 - I acknowledge that I am aware that communications sent by email:
 - can easily be tampered with;
 - intercepted or fraudulently abused by outsiders; and
 - I herewith expressly and fully indemnify Momentum Wealth Namibia (Pty) Ltd, its representatives and employees against and waive any rights in connection with any demand, claim or action, whether directly or indirectly relating to or in connection with any email communication, unless the matter is as a result of gross negligence or willful misconduct of Momentum Wealth Namibia (Pty) Ltd or any of its employees, in which case the matter shall be dealt with on its legal merits.
12. I undertake to inform you in writing of any changes in my circumstances or details I provided within 30 days of any change.

I have carefully read, and I understand and accept this application form, the terms, fees and minimum disclosure documents or fund fact sheets that apply to this investment. The information I completed on this form is true and correct. I will not hold you liable for any loss or damage if someone changes this form after I sign it.

Name and surname	<input type="text"/>																
If not the investor, capacity of signatory	<input type="text"/>																
I am also signing as the:	Lump sum investment payer <input type="checkbox"/>				Regular investment payer <input type="checkbox"/>												
Signed at	<input type="text"/>							Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Authorised signatory	Other signature if required
<input type="text"/>	<input type="text"/>

For office use:

EMPLOYEE/FINANCIAL ADVISER WHO CONFIRMED RECEIPT OF ALL ORIGINAL DOCUMENTS

Full name	<input type="text"/>										
Surname	<input type="text"/>										
Employee number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Signature	Date
<input type="text"/>	<input type="text"/>

Contact details

Client contact centre

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Momentum Wealth Namibia (Pty) Ltd, an authorised financial services provider Reg no 2016/0297
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 Directors: GD Marais, JA Ipinge, JJ Comalie, LCC Rankin