

Wealth Namibia PEP questionnaire

Investment number	P	P												
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For us to do business with you, the Financial Intelligence Act (FIA), 13 of 2012, requires that we identify and verify all related parties to an investment. We also have to comply with the provisions of the Prevention and Combating of Terrorist and Proliferation Activities Act, 4 of 2014. Under the FIA financial institutions have to implement a customer acceptance policy ("The Policy"). This includes identifying whether an investor is a politically exposed person (PEP). A PEP is:

- an individual, whether domestic or foreign, who is or have been entrusted with prominent public functions, including heads of state or of government, senior politicians, senior government, judicial or military officials, senior executives of publicly owned corporations and important political party officials.

For these reasons, and to protect your investment, please answer the following questions:

- Are you in your domicile state/state of permanent residence holding a senior public position (eg state president, prime minister, minister, deputy minister (or equivalent))? Yes No
- Are you a member of parliament/member of a legislature? Yes No
- Are you a member of the Supreme Court or another high court that passes non-appealable verdicts (allowing for exceptional cases)? Yes No
- Are you a judge of a financial court or member of the council of the central bank? Yes No
- Are you an ambassador, consul or a high ranked military officer? Yes No
- Are you a member of the managing or supervisory board of (majority) state-owned legal entities? Yes No
- Are you an immediate family member of the person stated under 1-6 above:
 - spouse (including common-law partner), child (including adopted child)
 - parent, brother, or sister
 - child (including adopted child) of the spouse in 7.1 above
 - the spouse (including common law partner) of the child in 7.1 above
- Are you a personal or professional associate of the mentioned person(s) who commonly benefit from the assets or from an established business relationship or from another form of close business contact with the person(s) mentioned above? Yes No
- If you are a politically exposed person, please state the source of the assets or funds that are or will be the subject of the business relationship or transaction.

By signing this questionnaire, I confirm that the information I have given is true and correct.

First and last name of person completing the form

Fulfilled by an MM Namibia employee

I agree that a business relationship is established with the person:

Place

Signature	<input style="width: 95%;" type="text"/>	Date <input style="width: 80%;" type="text"/>
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Financial adviser declaration

Identification and verification: Financial Intelligence Act, 13 of 2012 (FIA)

I hereby confirm that I have verified the information given to me regarding the client, investor, investment payer, cessionary or any other related party against the documentation received and that I have established, verified and stored each person's identity as required in terms of section 21 of the FIA.

Financial adviser name:

Signature of financial adviser:

Date

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Contact details

Client contact centre

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