

# Wealth Namibia

## Additional lump sum and regular investment

Investment number	P	P																	
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**Important information:**

1. This request forms part of your investment agreement with us. Refer to the terms of your investment to make sure you can invest additional amounts and how it will impact your investment where asset exposure regulations apply, or ask your financial adviser for more information.
2. According to the Financial Intelligence Act (FIA), 13 of 2012, we must identify you, and verify information if it is new or different to what we have on our records. Based on the information you provide, we may ask for additional information and documents.
3. We populated certain fields on this form to guide you. You can change these fields according to your requirements.
4. You may not change any other part of this form. When you correct any information you have completed, sign next to it.
5. If we cannot process any part of this request, we will inform you or your financial adviser.

### 1: Investor details

We need proof of your identity or registration.

#### 1.1 Personal details

Type of investor	Natural person	Listed company	Unlisted company	Trust
	Other	If Other, give more details		
Surname/Name of entity				
Trading name, if different to the name of the entity above				
Previous surnames/entity/trading names				
Title				
Full name				
Identification/Registration number				

#### 1.2 Communication details

Cell phone number	+ 2 6 4 (8)	Other	+ 2 6 4
Email address (primary)			
Email address (secondary)			

If an email indemnity is signed in the investor declaration, the above email address will apply for the receipt of emailed transactions/instructions.

We will communicate by email. Do you prefer to receive communication by post instead? Yes  No

If "yes" and the postal address is different to the physical address above, we need the postal address.

Postal address			
City/Town			
Postal code		Country code	N A M (NAM if Namibia)
If the investor is a minor, name of the contact person			
Identification number of the contact person			

#### 1.3 Income tax details

If the investor is an entity, we need the Tax addendum for entities form (WN241). Do not complete section 1.3.

Are you a registered tax payer with the Namibia Revenue Agency (NRA)? Yes  No

If "yes", give your tax reference number

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If you are currently, or have been previously, registered for tax in other countries, complete those details below.

Tax residence (country code)	Tax identification number (TIN)
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Use the list of country codes for the correct country code.

## 2: Investment details

The additional investment is for a  2.1 Namibia Direct Investment  2.2 Wealth Namibia investment

Individual/Entity submitting and confirming this instruction  Investor  Mandated adviser

### 2.1 Namibia Direct Investment: Additional investment, regular investment and unit transfers

The minimum additional investment and investment component amounts are N\$200.

#### 2.1.1 Lump sum investment

Lump sum amount N\$  ,

Give your investment component selection in section 2.1.3.

#### 2.1.2 Regular investment

If you are requesting a regular investment amount, this instruction will replace any existing regular investment instruction.

We can only collect the regular investment by debit order. You can only ask for a withdrawal or a refund 30 days after we have collected an amount.

Regular amount N\$  ,  every month  every quarter  every half year  every year

Yearly increase in regular amount  0 0 ,  0 0 % Month of increase

Give your investment component selection in section 2.1.3

#### 2.1.3 Investment component selection

Investment components	Lump sum amount	Regular amount
Momentum Namibia Money Market Fund	N\$ <input type="text"/> , <input type="text"/>	N\$ <input type="text"/> , <input type="text"/>
Momentum Namibia Diversified Income Fund	N\$ <input type="text"/> , <input type="text"/>	N\$ <input type="text"/> , <input type="text"/>
Momentum Namibia Defensive Growth Fund	N\$ <input type="text"/> , <input type="text"/>	N\$ <input type="text"/> , <input type="text"/>
Momentum Namibia Diversified Growth Fund of funds	N\$ <input type="text"/> , <input type="text"/>	N\$ <input type="text"/> , <input type="text"/>
Momentum Namibia Growth Plus Fund of funds	N\$ <input type="text"/> , <input type="text"/>	N\$ <input type="text"/> , <input type="text"/>
Momentum Namibia Real Growth Core Equity Fund	N\$ <input type="text"/> , <input type="text"/>	N\$ <input type="text"/> , <input type="text"/>

#### 2.1.4 Unit transfer

For voluntary unit transfers, we need a "Transfer of unit trusts to Momentum Wealth Namibia" form (WN832) for each transfer from a financial services provider.

How many financial services providers are transferring units to us?

### 2.2 Wealth Namibia Investment: Additional investment, regular investment and unit transfers

#### 2.2.1 Lump sum investment instruction

The minimum additional lump sum investment amount is N\$1 000.

Lump sum amount N\$  ,

Type of investment  Voluntary investment or transfer (Cash transfer)

Compulsory transfer

Also complete section 3 if you are the investment payer. Alternatively, we need an Investment payer form (WN201)

Complete the recognition of transfer (ROT) for each transfer using the registration numbers below.





**Collect from bank account** Legislation limits collections to N\$500 000 per contribution and N\$1 000 000 per day. If we have to collect the investment amount over a number of days, we will invest each collection in the investment components you choose. The first collection will be the initial investment amount and we will report the subsequent collections as additional investment amounts. We need official proof of your Namibian bank account for the collection, not older than three months.

Name of bank

Branch name

Account number  Branch code

Type of account  Current/Cheque  Savings  Transmission

Collection date         If we cannot collect on the date you chose, we will collect on the next possible date.

### 3.2 Payment of the regular amount

What is the source of the **regular amount**?

Business profit  Interest and dividends  Savings  Salary  Inheritance  Other

Give more details like name and nature of the business, name of the financial institution and the account reference, name of the employer, the estate that paid the inheritance, or describe "other":

If you asked for a collection of the lump sum from your bank account, must we use the same bank account for the regular amount? Yes  No

If "no", complete your Namibian bank account details for the regular amount. We need official proof of this bank account, not older than three months.

Name of bank

Branch name

Account number  Branch code

Type of account  Current/Cheque  Savings  Transmission

Collection day of the month   First collection date

If we cannot collect on the date you chose, we will collect on the next possible date.

## 4: Fees

### Investment management company, administration and other

The fee and benefit proposal, if you have one, sets out the fees that apply to this investment. You can also refer to the relevant minimum disclosure document (MDD) or fund fact sheet for the applicable investment management company fees. You can ask your financial adviser for more details.

### Financial adviser

We will add VAT to the following fee percentages if the financial adviser fee is subject to VAT. If the fee differs from your fee and benefit proposal, the fee on this form will apply.

Initial adviser fee: Lump sum investment    ,    % excluding VAT

Initial adviser fee: Regular investment    ,    % excluding VAT

Ongoing adviser fee    ,    % excluding VAT

## 5: Financial adviser details and declaration

The servicing financial adviser must complete and sign this section.

### 5.1 Financial adviser details

Name and surname	Financial adviser code	FSP name	FSP code	Fee reference	Fee split %	Marketing adviser code
Servicing financial adviser (preferred adviser)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/> %	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/> %	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/> %	<input type="text"/>

1 0 0 %

### Servicing financial adviser details

Cell phone number  + 2 6 4 (8)         Other  + 2 6 4

Email address

## 5.2 Servicing financial adviser declaration

- I confirm that I have identified the investor, any guardian and the investment payer. I obtained and stored the verification documents and have verified these details as required by the Financial Intelligence Act (FIA). Yes  No
- I provided and explained to the investor all documents, fees and charges that apply to this investment before this form was signed.
- I fully comprehend the "S" referencing system embodied in the Namibia Savings and Investment Association (NASIA) Code of Conduct and I accept the consequences thereof. Yes  No
- I discussed the impact and costs of a replacement with the investor, as required by the Namibia Savings and Investment Association (NASIA) replacement policy advice record process. The investor's response to the replacement question is that it is:
 

A replacement	<input type="checkbox"/>	Not a replacement	<input type="checkbox"/>
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 If it is a replacement, have completed and attached the replacement policy advice record form.
- I acknowledge that the client(s) is/are not a resident, national or citizen of the United States of America and is/are not a US person within the meaning of the definition of that term contained in Regulation S of the US Securities Act 1933 (as amended).
- Any request I give you will be within the limits of the mandate I have from the investor.

**I have read this application form, the terms, the fee and benefit proposal, fee schedule and guides that apply to this investment. The information on this form is to my knowledge, true and correct. I will not hold you liable for any loss or damage if this application is altered after I sign it.**

<b>Signature</b>		Date	D	D	M	M	Y	Y	Y	Y
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## 6: Investor declaration

- My investment amount is not the proceeds of any crime.
- I acknowledge that investment performance is not guaranteed and, if my investment is exposed to market risk, its value may fluctuate.
- It is my responsibility to get advice before making any investment decisions. I accept the risks related to this investment if I choose not to use the services of a financial adviser or do not follow my financial adviser's advice.
- I know that my financial adviser must be appropriately registered under the Long Term Insurance Act whereas Investment Manager is registered under Determination 4(1)(f) of the Stock Exchange Control Act.
- Any future request for this investment:
 

Must be signed by me or the authorised signatory	<input type="checkbox"/>	or	Can be signed by my appointed financial adviser as per the mandate for this investment	<input type="checkbox"/>
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- Future request for this investment can be emailed to the appointed financial adviser or Momentum office.
- I, the undersigned, herewith consent that Momentum Wealth Namibia (Pty) Ltd:
  - may communicate with me via email and may send all relevant contracts, statements and other information pertaining to my investment to me to the email address provided in this application;
  - I further confirm that the email address provided herein is complete and correct in all respects and undertake that I will inform Momentum Wealth Namibia (Pty) Ltd immediately of any changes to the details herein contained and specifically of any changes to my email address;
  - I acknowledge that I am aware that communications sent by email:
    - can easily be tampered with;
    - intercepted or fraudulently abused by outsiders; and
  - I herewith expressly and fully indemnify Momentum Wealth Namibia (Pty) Ltd, its representatives and employees against and waive any rights in connection with any demand, claim or action, whether directly or indirectly relating to or in connection with any email communication, unless the matter is as a result of gross negligence or willful misconduct of Momentum Wealth Namibia (Pty) Ltd or any of its employees, in which case the matter shall be dealt with on its legal merits.
- If I am also an investment payer, I agree that for this investment:
  - you can check my details with my bank and collect money from my bank account; and
  - I can sign for this bank account.
- I understand and accept:
  - your timelines, business practices and administrative processes;
  - all fees related to this application and that you will pay it from the investment components when it is due;
  - that you can invest an amount when you have collected it or once a deposit reflects in your bank account;
  - the use of a default investment component for certain transactions and investment events; and
  - that you can share my personal information within Momentum Metropolitan Namibia Limited, its subsidiaries and contracted service providers in order to administer my investment and provide me with financial wellness information.
- I am aware that the Financial Intelligence Act (FIA) applies and I will follow its requirements.
- I am/We are not, nor am I/are we acting on behalf of a person(s) who is/are either a resident, national or citizen of the United States of America or a US person within the meaning of the definition of that term contained in Regulation S of the US Securities Act 1933 (as amended).

12. I undertake to inform you in writing of any changes in my circumstances or details I provided within 30 days of any change.
13. I acknowledge that you may be required to send the information provided to the Namibia Revenue Agency, who may share it with the local tax authority according to the Organisation for Economic Co-operation and Development's (OECD's) Common Reporting Standard (CRS).

**I have carefully read, and I understand and accept this application, minimum disclosure documents or fund fact sheets and guides that apply to this investment. The information I completed on this form is true and correct. I will not hold you liable for any loss or damage if this application is altered after I sign it.**

Name and surname	<input type="text"/>																			
If not the investor, capacity of signatory	<input type="text"/>																			
Signed at	<input type="text"/>							Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Authorised signatory</b>	<input type="text"/>							<b>Other signature if required</b>	<input type="text"/>											

**For office use:**  
**EMPLOYEE/FINANCIAL ADVISER WHO CONFIRMED RECEIPT OF ALL ORIGINAL DOCUMENTS**

Full name	<input type="text"/>																					
Surname	<input type="text"/>																					
Employee number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>		
<b>Signature</b>	<input type="text"/>											Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Contact details** \_\_\_\_\_

**Client contact centre**  
 Telephone: (+264) 61 297 3737, Fax: (+264) 61 297 3679, Email: [wealthservice@momentum.com.na](mailto:wealthservice@momentum.com.na)  
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**Momentum Wealth Namibia (Pty) Ltd**, an authorised financial services provider Reg no 2016/0297  
**Momentum Metropolitan Namibia Limited**, an authorised financial services provider Reg no 89/327  
 Directors: GD Marais, JA Ipinge, JJ Comalie, LCC Rankin